SEC Form 4

Instruction 1(b).

FORM 4

Check this box if no longer subject

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP to Section 16. Form 4 or Form 5 obligations may continue. See

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] ANDERSON JAMES L			2. Issuer Name and Ticker or Trading Symbol <u>AMERICAN STATES WATER CO</u> [AWR]		tionship of Reporting Per all applicable) Director Officer (give title	son(s) to Issuer 10% Owner Other (specify
(Last) 630 E FOOTHI	(Last) (First) (Middle) 630 E FOOTHILL BLVD.		3. Date of Earliest Transaction (Month/Day/Year) 05/18/2021		below)	below)
(Street) SAN DIMAS	СА	91773	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	idual or Joint/Group Filin Form filed by One Rep Form filed by More thai	orting Person
(City)	(State)	(Zip)			Person	

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities A Disposed Of (D			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130. 4)	
Common Shares	08/17/2020		A		78.2457 ⁽¹⁾	Α	\$78.67	34,347.3157	D		
Common Shares	11/16/2020		A		78.122 ⁽²⁾	Α	\$79.13	34,425.4377	D		
Common Shares	01/06/2021		D		0.4584 ⁽³⁾	D	\$0.00	34,424.9793	D		
Common Shares	02/08/2021		A		398.2371 ⁽⁴⁾	Α	\$0.00	34,823.2164	D		
Common Shares	02/16/2021		A		79.0925 ⁽⁵⁾	A	\$78.49	34,902.3089	D		
Common Shares	05/17/2021		A		80.4654 ⁽⁶⁾	Α	\$77.48	34,982.7743	D		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deriv Secu Acqu (A) o Dispe of (D	r osed) 1. 3, 4	6. Date Exercisable and Expiration Date (Month/Day/Year)		e and 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		Amount of Securities Jnderlying Derivative Security (Instr. 5) Security (Instr. 5)		11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			

Explanation of Responses:

1. DER Units credited on 08/17/2020 as dividend at FMV

2. DER units credited on 11/16/2020 as dividend at FMV

3. Adjustment due to partial share

4. Updated DRIP

5. DER Units credited on 02/16/2021 as dividend at FMV

6. DER Units credited on 05/17/2021 as dividend at FMV

Remarks:

/s/ James L. Anderson

05/1<u>9/2021</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.