## SEC Form 4

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Mashimman D.O. 00540

Washington, D.C. 20549

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Check this box if no longer subject o Section 16. Form 4 or Form 5	
obligations may continue. See nstruction 1(b).	

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB	APPROVAL

OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

1. Name and Addre FARROW G (Last) 630 E FOOTHI	(First)	Person <sup>*</sup> (Middle)	2. Issuer Name and Ticker or Trading Symbol <u>AMERICAN STATES WATER CO</u> [ AWR ] 3. Date of Earliest Transaction (Month/Day/Year) 02/13/2024		ationship of Reporting P c all applicable) Director Officer (give title below) VP - Fin., Treas.,	10% Owner Other (specify below)		
630 E FOOTHILL BLVD			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)				
(Street) SAN DIMAS	СА	91773	_	X	Form filed by One Re Form filed by More th Person			
(City)	(State)	(Zip)	Rule 10b5-1(c) Transaction Indication			lan that is intended to		

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities A Disposed Of ( 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V		Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Common Stocks	06/01/2023		A		5.1168 <sup>(1)</sup>	A	\$88.79	7,851.3316	D	
Common Stocks	09/01/2023		A		5.872 <sup>(2)</sup>	Α	\$84.07	7,857.2037	D	
Common Stocks	12/01/2023		Α		6.1062 <sup>(3)</sup>	Α	\$81.26	7,863.3098	D	
Common Stocks	01/16/2024		D		0.922 <sup>(4)</sup>	D	\$ <mark>0</mark>	7,862.3878	D	
Common Stocks	02/09/2024		F		57.2583(5)	D	\$75.11	7,805.1295	D	
Common Stocks	02/09/2024		F		53.8657(6)	D	\$75.11	7,751.2638	D	
Common Stocks	02/09/2024		F		91.0049(7)	D	\$75.11	7,660.2589	D	

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	(e.g., paro, cano, martanto, opriority, contention occurrity)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of Deriv Secu Acqu (A) o Dispo of (D (Instr	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		Deriva	int of rities rlying ative rity (Instr.	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Der units credited on 06/01/2023 at FMV

2. Der units credited on 09/01/2023 at FMV

3. Der units credited on 12/01/2023 at FMV

4. Adjustment due to partial share

5. 57.2583 shares withheld to satisfy tax liability

6. 53.8657 shares withheld to satisfy tax liability

7. 91.0049 shares withheld to satisfy tax liability

/s/ Gladys M Farrow

\*\* Signature of Reporting Person

02/13/2024

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.