## SEC Form 4

Instruction 1(b).

# FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Markington D.O. 00540

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 5. Relationship of Reporting Person(s) to Issuer 2. Issuer Name and Ticker or Trading Symbol 1. Name and Address of Reporting Person (Check all applicable) AMERICAN STATES WATER CO [ AWR TANG EVA G Director 10% Owner Officer (give title Other (specify Χ below) below) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) Sr. Vice President & CFO 02/07/2023 630 E FOOTHILL BLVD. 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable (Street) Line) SAN DIMAS CA 91773 X Form filed by One Reporting Person Form filed by More than One Reporting Person (City) (State) (Zip)

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities A Disposed Of (I			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Shares	06/01/2022		A		7.5726 <sup>(1)</sup>	A	\$79.39	36,537.2319	D	
Common Shares	06/03/2022		A		31.9863(2)	A	\$0.00	36,569.2182	D	
Common Shares	09/01/2022	1	A		7.8507 <sup>(3)</sup>	A	\$83.78	36,577.0688	D	
Common Shares	09/06/2022		A		33.2751 <sup>(2)</sup>	Α	\$0.00	36,610.3439	D	
Common Shares	12/01/2022		A		6.6969 <sup>(4)</sup>	A	\$98.68	36,617.0408	D	
Common Shares	02/02/2023		D		2.1791 <sup>(5)</sup>	D	\$0.00	36,614.8617	D	
Common Shares	02/02/2023		A		116.3826(6)	A	\$0.00	8,288.1939	Ι	401k
Common Shares	02/07/2023		A		734	A	\$0.00	37,348.8617	D	

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of Deriv Secu Acqu (A) o Dispo of (D (Instr	of Expiration D		5. Date Exercisable and Expiration Date Month/Day/Year) Derivat Securit 3 and 4			8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. DER Units credited on 06/01/2022 as dividend at FMV

2. Updated DRIP

3. DER Units credited on 09/01/2022 as dividend at FMV

4. DER units credited on 12/01/2022 as dividend at FMV

5. Adjustment due to partial share

6. Updated 401k Information

Remarks:

#### /s/ Eva G. Tang

02/09/2023

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.