FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

**OMB APPROVAL** 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*  Rowley Paul J  (Last) (First) (Middle)  630 E FOOTHILL BLVD.  (Street)  SAN DIMAS CA 91773					3. Da 02/0	Issuer Name and Ticker or Trading Symbol     AMERICAN STATES WATER CO     AWR  3. Date of Earliest Transaction (Month/Day/Year)     02/05/2021  4. If Amendment, Date of Original Filed (Month/Day/Year)									5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  Director 10% Owner X Officer (give title Other (specify below) below)  VP OF OPERATIONS  6. Individual or Joint/Group Filing (Check Applicable Line)  X Form filed by One Reporting Person  Form filed by More than One Reporting						
(City)	(St	(State) (Zip)														Person					
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																					
Date					te Exe onth/Day/Year) if a		A. Deemed execution Date, any Month/Day/Year)		3. Transaction Code (Instr. 8)		4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4			A) or , 4 and	and 5) Securi Benefi Owned		ies cially Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership	
						Code	v	Amount	(A (D	(A) or (D) Pri		1	Reported Transaction(s) (Instr. 3 and 4)				(Instr. 4)				
Common Shares 02/05/					021				F		71.8015 <sup>(1)</sup>	)	D	\$79.8		4,319.915			D		
Common Shares 02/05					021				F		91.1654(2	)	D	\$79.8		4,228.7496			D		
Common Shares 02/05/					021				F		112.3529(	3)	D	\$79.8		4,116.3967			D		
Common Shares 02/05/2					021				A		241.4782	4)	A	\$0.00		3,039.1538		I		401k	
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																					
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Execu	eemed Ition Date, h/Day/Year)	4. Transa Code ( 8)		of	r osed ) r. 3, 4	6. Date Expira (Monti	ition D h/Day/		7. Title and Amount of Securities Underlying Derivative Security (Ins 3 and 4)  Amou or Numb of Share		nstr.	8. Price of Derivative Security (Instr. 5)		9. Number derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	y	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	

## Explanation of Responses:

- 1. 71.8015 shares withheld to satisfy tax liability.
- 2. 91.1654 shares withheld to satisfy tax liability.
- 3. 112.3529 shares withheld to satisfy tax liability.
- 4. Updated 401k Information

## Remarks:

/s/ Paul Rowley

02/09/2021

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.