

## PUBLIC UTILITIES COMMISSION

505 VAN NESS AVENUE  
SAN FRANCISCO, CA 94102-3298

November 4, 2011

File No. 602-19

Gladys Rosendo  
Regulatory Analyst  
Golden State Water Company  
630 East Foothill Blvd.  
SAN DIMAS, CA 91773

Dear Ms. Rosendo:

The Commission has received and filed the utility's Advice Letter No. 1460-W, to update the temporary surcharge to recover the cumulative balance in its Santa Maria Water Rights Memorandum Account, together with the following revised Cal. P.U.C. tariff sheets applicable to its Santa Maria customer service area, that were submitted:

<u>Cal. P.U.C. Sheet No.</u>	<u>Title of Sheet</u>
6150-W	Schedule No. SM-1-R, Residential Metered Service
6151-W	Schedule No. SM-1-NR, Non-Residential Metered Service
6152-W	Schedule No. SM-3ML, Limited Metered Irrigation Service
6153-W	Table of Contents, page 3 of 4
6154-W	Table of Contents, page 1 of 4

Enclosed is a copy of the advice letter and tariff sheets, with the filing and effective dates shown, for the utility's files.

Please contact Adam Thaler at (415) 703-3034, if you have any questions.

Very truly yours,

A handwritten signature in blue ink, appearing to read "Josie R. Babaran".

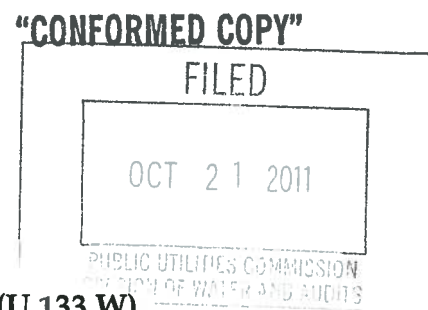
JOSIE R. BABARAN  
Staff Services Analyst  
Water & Sewer Advisory Branch

Enclosures





**Golden State**  
Water Company  
A Subsidiary of American States Water Company



October 21, 2011

Advice Letter No. 1460-W

(U 133 W)

### California Public Utilities Commission

Golden State Water Company (GSWC) hereby transmits one original and three conformed copies of the following tariff sheets applicable to its Santa Maria Customer Service Area (CSA):

<u>CPUC Sheet No.</u>	<u>Title of Sheet</u>	<u>CPUC Sheet No.</u>
Revised No. 6150-W	Schedule No. SM-1-R Santa Maria Customer Service Area Residential Metered Service	Revised No. 6093-W
Revised No. 6151-W	Schedule No. SM-1-NR Santa Maria Customer Service Area Non-Residential Metered Service	Revised No. 6094-W
Revised No. 6152-W	Schedule No. SM-3ML Santa Maria Customer Service Area Limited Metered Irrigation Service	Revised No. 6095-W
Revised No. 6153-W	Table of Contents Page 3 of 4	Revised No. 6140-W
Revised No. 6154-W	Table of Contents Page 1 of 4	Revised No. 6149-W

**SUBJECT:** Annual update of the temporary surcharge to recover the cumulative balance recorded in the Santa Maria Water Rights Memorandum Account, pursuant to Decision No. (D.) 07-05-041.

#### PURPOSE

These tariffs are submitted in compliance with the Settlement Agreement in Decision 07-05-041, dated May 24, 2007, in GSWC's Application No. 06-02-026. Specifically, Section E.7 of the Settlement Agreement directs GSWC to submit an annual update and revise the

temporary surcharge to recover the authorized Santa Maria Water Rights litigation-related expenses incurred as of December 31, 2005. **Because this is a compliance filing, a Commission resolution will not be needed to approve this advice letter.**

Pursuant to the Settlement Agreement, Section E.7, GSWC is to file an advice letter with the Commission annually, no later than November 1 of each year, to update and revise the temporary surcharge until its expiration.

GSWC is filing this advice letter to update the temporary surcharge implemented in Advice Letter 1415-W, which became effective November 1, 2010. This filing reflects the most recent historical data for unamortized litigation costs, interest rate, and sales volumes.

#### **RATE IMPACT**

GSWC is authorized to impose the recalibrated temporary surcharge of \$0.089 per Ccf on the metered ratepayers in the Santa Maria CSA until the next required advice letter filing to reset this temporary surcharge in November 2012.

The new surcharge of \$0.089 per Ccf for metered ratepayers will apply to all customer classes equally. The monthly bill of a typical residential customer, on Schedule No. SM-1-R, with a 5/8" x 3/4" meter using 18 Ccf will increase by \$0.14 or 0.30%, from \$45.18 to \$45.32 per month.

#### **EFFECTIVE DATE**

It is desired that this filing become effective on November 1, 2011.

#### **TIER DESIGNATION**

Pursuant to D. 07-01-024, this advice letter is submitted with a Tier 1 designation.

#### **PROTEST AND RESPONSES**

Anyone may respond to or protest this advice letter. A response supports the filing and may contain information that proves useful to the Commission in evaluating the advice letter. A protest objects to the advice letter in whole or in part and must set forth the specific grounds on which it is based. These grounds are:

- (1) The utility did not properly serve or give notice of the advice letter;
- (2) The relief requested in the advice letter would violate statute or Commission order, or is not authorized by statute or Commission order on which the utility relies;
- (3) The analysis, calculations, or data in the advice letter contain material error or omissions;

- (4) The relief requested in the advice letter is pending before the Commission in a formal proceeding; or
- (5) The relief requested in the advice letter requires consideration in a formal hearing, or is otherwise inappropriate for the advice letter process; or
- (6) The relief requested in the advice letter is unjust, unreasonable, or discriminatory (provided that such a protest may not be made where it would require re-litigating a prior order of the Commission.)

A protest shall provide citations or proofs where available to allow staff to properly consider the protest.

A response or protest must be made in writing or by electronic mail and must be received by the Water Division within 20 days of the date this advice letter is filed. The address for mailing or delivering a protest is:

Tariff Unit, Water Division, 3<sup>rd</sup> floor  
California Public Utilities Commission,  
505 Van Ness Avenue, San Francisco, CA 94102  
E-mail: [water\\_division@cpuc.ca.gov](mailto:water_division@cpuc.ca.gov)

On the same date the response or protest is submitted to the Water Division, the respondent or protestant shall send a copy by mail (or e-mail) to us, addressed to:

Golden State Water Company  
ATTN: Gladys Rosendo  
630 East Foothill Blvd.  
San Dimas, CA 91773  
Fax: 909-394-7427 or  
E-mail: [regulatoryaffairs@gswater.com](mailto:regulatoryaffairs@gswater.com)

If you have not received a reply to your protest within 10 business days, contact this person at 909-394-3600 ext. 527.

Cities and counties that need Board of Supervisors or Board of Commissioners approval to protest should inform the Water Division, within the 20 day protest period, so that a late filed protest can be entertained. The informing document should include an estimate of the date the proposed protest might be voted on.

The actions requested in this advice letter are not now the subject of any formal filings with the California Public Utilities Commission, including a formal complaint, nor action in any court of law.

No individuals or utilities have requested notification of filing of tariffs. Distribution of this advice letter is being made to the attached service list in accordance with General Order No. 96-B. No further noticing is required because all public notices in connection with Application No. 06-02-026 have already been made in accordance with applicable law and Commission rules.

Sincerely,

A handwritten signature in cursive script that reads "Gladys Rosendo".

Gladys Rosendo  
Regulatory Analyst

c: Jim Boothe, CPUC - Water Division  
Danilo Sanchez, CPUC- Water Branch, DRA  
Hani Moussa, CPUC- Water Branch, DRA

**GOLDEN STATE WATER COMPANY**

630 E. FOOTHILL BLVD. - P. O. BOX 9016

SAN DIMAS, CALIFORNIA 91773-9016

Revised Cal. P.U.C. Sheet No. 6150-W

Canceling Revised Cal. P.U.C. Sheet No. 6093-W

Schedule No. SM-1-R  
Santa Maria District  
RESIDENTIAL METERED SERVICE

APPLICABILITY

Applicable to all residential metered water services provided to single-family residential customers.

TERRITORY

Within the established Santa Maria District, San Luis Obispo County and Santa Barbara County.

RATES

	<u>Per Meter</u> <u>Per Month</u>
<b>Quantity Rates:</b>	
First 1,500 cu. ft., per 100 cu. ft.....	\$ 1.584
Next 1,200 cu. ft., per 100 cu. ft.....	\$ 1.821
Over 2,700 cu. ft., per 100 cu. ft.....	\$ 2.093
<b>Service Charge:</b>	
For 5/8 x 3/4-inch meter.....	\$ 14.50
For 3/4-inch meter.....	21.75
For 1-inch meter.....	36.25
For 1-1/2-inch meter.....	72.50
For 2-inch meter.....	116.35
For 3-inch meter.....	217.60
For 4-inch meter.....	362.05
For 6-inch meter.....	725.05
For 8-inch meter.....	1,159.30
For 10-inch meter.....	1,666.75

The Service Charge is a readiness-to-serve charge applicable to all metered service and to which is added the charge for water used computed at the Quantity Rates.

SPECIAL CONDITIONS

1. All bills are subject to the reimbursement fee set forth on Schedule No. UF.
2. Pursuant to Decision 07-05-041, to recover the Santa Maria Water Rights Litigation expense balance as of December 31, 2005, A surcharge of \$0.089 per Ccf is to be added to the quantity rate and is subject to recalibration annually until July 26, 2017 or until the SMWRBA is fully recovered, whichever is sooner. The revenue from the surcharge will be applied to the Santa Maria Water Rights Balancing Account. (T)
3. Pursuant to Decision No. 10-12-059, a surcharge of \$0.033 per Ccf will be applied to all metered customer bills excluding customers that are receiving the CARW credit. This surcharge will offset the CARW credits and CARW administrative program costs recorded in the CARW Balancing Account. (D)  
(D)
4. As authorized by the California Public Utilities Commission, \$0.05462 per Ccf is to be credited to the Quantity Rate for a period of 12 months, beginning on the effective date of Advice Letter 1433-W, which is February 24, 2011. This credit will refund the overcollection in the CARW Balancing Account, as of December 31, 2010. CARW customers are exempt from this credit. (T)
5. As authorized by the California Utilities Commission, an amount of \$0.04709 per Ccf is to be added to the quantity rate for a 12-month period, from the effective date of Advice Letter 1434-W, which is February 24, 2011. This surcharge will recover the undercollection in the Supply Expense Balancing Account. (T)
6. As authorized by the California Utilities Commission, an amount of \$0.088 per Ccf is to be added to the quantity rate for 36-months from the effective date of Advice Letter 1440-W, which is March 8, 2011. This surcharge will recover the undercollection in the WRAM/MCBA Balancing Accounts, for the period January 1 through December 31, 2010. (T)

ISSUED BY

**R. J. SPROWLS**

President

Date Filed: OCT 21 2011

Effective Date: NOV - 1 2011

Resolution No. \_\_\_\_\_

Advice Letter No. 1460-W

Decision No. \_\_\_\_\_

**GOLDEN STATE WATER COMPANY**

630 E. FOOTHILL BLVD. - P. O. BOX 9016

SAN DIMAS, CALIFORNIA 91773-9016

Revised Cal. P.U.C. Sheet No. 6151-W

Canceling Revised Cal. P.U.C. Sheet No. 6094-W

Schedule No. SM-1-NR  
Santa Maria District  
NON-RESIDENTIAL METERED SERVICE

APPLICABILITY

Applicable to all metered water services except those covered under SM-1-R.

TERRITORY

Within the established Santa Maria District, San Luis Obispo County and Santa Barbara County.

RATES

	Per Meter <u>Per Month</u>
Quantity Rates:	
For all water delivered, per 100 cu. ft.....	\$ 1.508
Service Charge:	
For 5/8 x 3/4-inch meter.....	\$ 17.70
For 3/4-inch meter.....	26.60
For 1-inch meter.....	44.35
For 1-1/2-inch meter.....	88.60
For 2-inch meter.....	141.40
For 3-inch meter.....	265.75
For 4-inch meter.....	443.25
For 6-inch meter.....	886.50
For 8-inch meter.....	1,418.05
For 10-inch meter.....	2,037.80

The Service Charge is a readiness-to-serve charge applicable to all metered service and to which is added the charge for water used computed at the Quantity Rates.

SPECIAL CONDITIONS

1. All bills are subject to the reimbursement fee set forth on Schedule No. UF.
2. Pursuant to Decision 07-05-041, to recover the Santa Maria Water Rights Litigation expense balance as of December 31, 2005, a surcharge of \$0.089 per Ccf is to be added to the quantity rate and is subject to recalibration annually until July 26, 2017 or until the SMWRBA is fully recovered, whichever is sooner. The revenue from the surcharge will be applied to the Santa Maria Water Rights Balancing Account. (T)
3. Pursuant to Decision No. 10-12-059, a surcharge of \$0.033 per Ccf will be applied to all metered customer bills excluding customers that are receiving the CARW credit. This surcharge will offset the CARW credits and CARW administrative program costs recorded in the CARW Balancing Account. (D)  
(D)
4. As authorized by the California Public Utilities Commission, \$0.05462 per Ccf is to be credited to the Quantity Rate for a period of 12 months, beginning on the effective date of Advice Letter 1433-W, which is February 24, 2011. This credit will refund the overcollection in the CARW Balancing Account, as of December 31, 2010. CARW customers are exempt from this credit. (T)
5. As authorized by the California Utilities Commission, an amount of \$0.04709 per Ccf is to be added to the quantity rate for a 12-month period, from the effective date of Advice Letter 1434-W, which is February 24, 2011. This surcharge will recover the undercollection in the Supply Expense Balancing Account. (T)
6. As authorized by the California Utilities Commission, an amount of \$0.091 per Ccf is to be added to the quantity rate for 36-months from the effective date of Advice Letter 1440-W, which is March 8, 2011. This Surcharge will recover the undercollection in the WRAM/MCBA Balancing Accounts, for the period January 1 through December 31, 2010. (T)

ISSUED BY

**R. J. SPROWLS**

President

Date Filed: OCT 21 2011

Effective Date: NOV - 1 2011

Resolution No. \_\_\_\_\_

Advice Letter No. 1460-W

Decision No. \_\_\_\_\_

Schedule No. SM-3ML  
Santa Maria District

LIMITED METERED IRRIGATION SERVICE

APPLICABILITY

Applicable to metered irrigation water service.

TERRITORY

The unincorporated area known as Lake Marie Ranches located in the former Lake Marie Service Area.

RATES

Quantity Rates:

For all water delivered, per 100 cu. ft..... \$ 0.971

Service Charge:

	<u>Per Meter</u>
	<u>Per Month</u>
For 3/4-inch meter.....	\$ 48.40
For 1-inch meter.....	54.40
For 3-inch meter.....	128.35

The Service Charge is a readiness-to-serve charge applicable to all metered service and to which is added the charge for water used computed at the Quantity Rates.

SPECIAL CONDITIONS

1. A customer desiring to obtain water deliveries under this schedule must first obtain a written permit from the utility, with service limited to existing customers as of December 31, 1986.
2. The utility will supply only such water at such pressure as may be available from time to time as a result of its normal operations.
3. All bills are subject to the reimbursement fee set forth on Schedule No. UF
4. Pursuant to Decision 07-05-041, to recover the Santa Maria Water Rights Litigation expense balance as of December 31, 2005, a surcharge of \$0.089 per Ccf is to be added to the quantity rate and is subject to recalibration annually until July 26, 2017 or until the SMWRBA is fully recovered, whichever is sooner. The revenue from the surcharge will be applied to the Santa Maria Water Rights Balancing Account. (T)
5. Pursuant to Decision No. 10-12-059, a surcharge of \$0.033 per Ccf will be applied to all metered customer bills excluding customers that are receiving the CARW credit. This surcharge will offset the CARW credits and CARW administrative program costs recorded in the CARW Balancing Account. (D)  
(D)
6. As authorized by the California Public Utilities Commission, \$0.05462 per Ccf is to be credited to the Quantity Rate for a period of 12 months, beginning on the effective date of Advice Letter 1433-W, which is February 24, 2011. This credit will refund the over-collection in the CARW Balancing Account, as of December 31, 2010. CARW customers are exempt from this credit. (T)
7. As authorized by the California Utilities Commission, an amount of \$0.04709 per Ccf is to be added to the quantity rate for a 12-month period, from the effective date of Advice Letter 1434-W, which is February 24, 2011. This surcharge will recover the undercollection in the Supply Expense Balancing Account. (T)
8. As authorized by the California Utilities Commission, an amount of \$0.010 per Ccf is to be credited to the quantity rate for 36-months from the effective date of Advice Letter 1440-W, which is March 8, 2011. This surcredit will refund the over-collection in the MCBA Balancing Account for the period January 1 through December 31, 2010. (T)

ISSUED BY

**R. J. SPROWLS**

President

Date Filed: OCT 21 2011

Effective Date: NOV - 1 2011

Resolution No. \_\_\_\_\_

Advice Letter No. 1460-W

Decision No. \_\_\_\_\_

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Water Conservation	14.1-SG	5642-W, 5643-W, 5644-W, 5645-W, 5646-W	
Santa Maria District			
Residential Metered Service	SM-1-R	6150-W	(C)
Non-Residential Metered Service	SM-1-NR	6151-W	(C)
Limited Metered Irrigation Service	SM-3ML	6152-W	(C)
Simi Valley District			
Residential Metered Service	SI-1-R	6138-W	
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(Continued)

Advice Letter No. 1460-W

Decision No. \_\_\_\_\_

ISSUED BY  
**R. J. SPROWLS**  
 President

Date Filed: OCT 21 2011  
 Effective Date: NOV - 1 2011  
 Resolution No. \_\_\_\_\_

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The following tariff sheets contain all effective rates and rules affecting rates and service of the utility, together with information relating thereto:

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(Continued)

Advice Letter No. 1460-W

Decision No. \_\_\_\_\_

ISSUED BY  
**R. J. SPROWLS**  
 President

Date Filed: OCT 21 2011  
 Effective Date: NOV - 1 2011  
 Resolution No. \_\_\_\_\_

# GOLDEN STATE WATER COMPANY

## SERVICE LIST

### SANTA MARIA DISTRICT

City of Santa Maria  
2065 East Main Street  
Santa Maria, CA 93454  
[rsweet@ci.santa-maria.ca.us](mailto:rsweet@ci.santa-maria.ca.us)

Nipomo Community Services Dist.  
P. O. Box 326  
Nipomo, CA 93444

Charles Baker, President  
Rural Water Company  
P.O. Box 745  
Grover Beach, CA 93483  
[ruralwater@earthlink.net](mailto:ruralwater@earthlink.net)

Mr. Don Ward  
**ORCUTT AREA ADVISORY GROUP, INC.**  
P. O. Box 2542  
Orcutt, CA 93457

County Counsel  
105 East Anapamu Street, Rm. 201  
Santa Barbara, CA 93101

County Clerk & County Counsel  
County of San Luis Obispo  
County Government Center, Rm.385  
San Luis Obispo, CA 93408

City Clerk & City Attorney  
City of Santa Maria  
110 East Cook Street  
Santa Maria, CA 93454

City Clerk & City Attorney  
City of Guadalupe  
918 Obispo Street  
Guadalupe, CA 93434

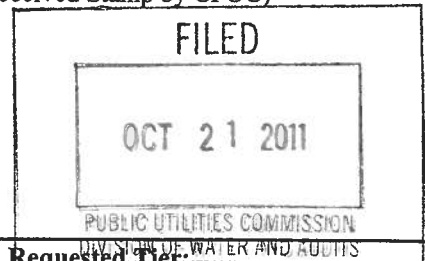
LAFCO  
County Admin. Office #370  
County Government Center  
San Luis Obispo, CA 93482

LAFCO Santa Barbara  
105 E. Anapamu Room 406  
Santa Barbara, CA 93101

Herschel T. Elkins  
Asst. Attorney General  
STATE OF CALIFORNIA  
300 South Spring Street  
Los Angeles, CA 90013

**CALIFORNIA PUBLIC UTILITIES  
COMMISSION  
DIVISION OF WATER AND  
AUDITS  
Advice Letter Cover Sheet**

(Date Filed / Received Stamp by CPUC)



AL # 1460-W	Date Mailed to Service List: 10/21/11	Requested Effective Date: November 1, 2011	Requested Tier: <input checked="" type="checkbox"/> Tier 1 <input type="checkbox"/> Tier 2 <input checked="" type="checkbox"/> Tier 3
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Replacing AL#:	Authorized by: D.07-05-041	Compliance Filing? <input checked="" type="checkbox"/> Yes	Rate \$ N/A Impact %	N/A
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**The public has 20 days from Date Mailed (above) to protest this advice letter. If you chose to protest or respond to the advice letter, send Protest and/or Correspondence within 20 days to:**

Director  
Division of Water and Audits  
505 Van Ness Ave.  
San Francisco, CA 94102

**and if you have email capability, also email to:** [water\\_division@cpuc.ca.gov](mailto:water_division@cpuc.ca.gov)

**Your protest also must be served on the Utility** (see attached advice letter for more information and grounds for protest)

Company Name: Golden State Water Company	CPUC Utility Number: WTA <u>133-W</u> WTB _____ WTC _____ WTD _____ SWR _____
Address: 630 East Foothill Blvd.	
City, State, Zip: San Dimas, CA 91773	

	Contact Name:	Phone No.	Fax No.	Email Address:
Filer	Gladys Rosendo	909-394-3600 ext. 527	909-394-7427	grosendo@gswater.com
Alternate	Keith Switzer	909-394-3600 ext. 759	909-394-7427	kswitzer@gswater.com

**Description:**

- Advice letter is in compliance with D.07-05-041 and a Tier 1 designation.
- Advice letter will modify tariff schedule SM-1-R, SM-1-NR & SM-3ML by increasing surcharge in special conditions for each tariff.
- Advice letter is similar to previously filed AL 1415-W which increased the surcharge previously.

(FOR CPUC USE ONLY)

WTS Budget/Activity/Type / /	Process as: <input type="checkbox"/> Tier 1 <input type="checkbox"/> Tier 2 <input type="checkbox"/> Tier 3
Project Manager:	20th Day <input type="text"/> 30th Day <input type="text"/>
Analyst:	Suspended on: <input type="text"/>
Due Date:	Extended on: <input type="text"/>
Completion Date:	Resolution No.: <input type="text"/>
	AL/Tariff Effective Date: <input type="text"/>