

## PUBLIC UTILITIES COMMISSION

505 VAN NESS AVENUE  
SAN FRANCISCO, CA 94102-3298



October 11, 2011

File No. 602-19

Ronald Moore  
Senior Regulatory Analyst  
Golden State Water Company  
630 East Foothill Blvd.  
SAN DIMAS, CA 91773

Dear Mr. Moore:

The Commission has received and filed the utility's Advice Letter No. 1457-W, together with the following revised Cal. P.U.C. tariff sheets applicable to its Simi Valley that were submitted pursuant to D.10-12-059 dated December 16, 2010:

<u>Cal. P.U.C. Sheet No.</u>	<u>Title of Sheet</u>
6138-W	Schedule No. SI-1-R, Residential Metered Service
6139-W	Schedule No. SI-1-NR, Non-Residential Metered Service
6140-W	Table of Contents, page 3 of 4
6141-W	Table of Contents, page 1 of 4

Enclosed is a copy of the advice letter and tariff sheets, with the filing and effective dates shown, for the utility's files.

Please contact Tayeb Mogri at (415) 703-2146, if you have any questions.

Very truly yours,

A handwritten signature in blue ink, appearing to read "Josie R. Babaran".

JOSIE R. BABARAN  
Staff Services Analyst  
Water & Sewer Advisory Branch

Enclosures





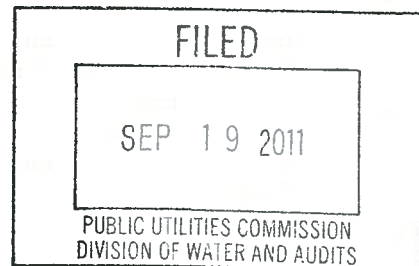
**Golden State**  
**Water Company**

A Subsidiary of American States Water Company

September 19, 2011

Advice Letter No. 1457-W

**"CONFORMED COPY"**



(U 133 W)

## California Public Utilities Commission

Golden State Water Company (GSWC) hereby transmits for filing an original and three conformed copies of the following tariff sheets applicable to its Simi Valley Customer Service Area:

<u>CPUC Sheet No.</u>	<u>Title of Sheet</u>	<u>Canceling CPUC Sheet No.</u>
Revised No. 6138-W	Schedule No. SI-1-R Simi Valley Customer Service Area Residential Metered Service	Revised No. 6096-W
Revised No. 6139-W	Schedule No. SI-1-NR Simi Valley Customer Service Area Non-Residential Metered Service	Revised No. 6097-W
Revised No. 6140-W	Table of Contents Page 3 of 4	Revised No. 6136-W
Revised No. 6141-W	Table of Contents Page 1 of 4	Revised No. 6137-W

***Subject: Rate Base Offset- Simi Valley***

### **PURPOSE**

These tariffs are submitted pursuant to Decision No. (D.) 10-12-059, dated December 16, 2010, in Application No. 10-01-009, filed January 13, 2010. GSWC is seeking authorization to include in rate base the costs of the Niles Street Main Replacement Project (560' of 12" DIP) and the Sebring Street Main Replacement (1330' of 8" DIP) in the Simi Valley Customer Service Area. As of August 31, 2011, the aforementioned projects are completed, operational, used and useful for service.

### **BACKGROUND**

These tariffs are submitted as authorized by Ordering Paragraph No. 3 of D. 10-12-059 in GSWC's Application No. 10-01-009. Ordering Paragraph No. 3 states,

*3. The disputed construction of 31 pipeline projects in Application 10-01-009, which are not included in the proposed settlement between Golden State Water Company and the Division of Ratepayer Advocates, is adopted for inclusion in rate base and to recover the revenue requirement. For Golden State Water Company to timely recovery the revenue requirement of a project it must file a Tier 1 Advice Letter only if it actually builds the project, capped at the forecast cost. Golden State Water Company must file no more than one advice letter per month for the pipeline projects completed and placed in service in the prior month.*

Accordingly, GSWC is filing this advice letter to include in rate base and to recover the revenue requirement of the Nile Street and Sebring Street Main Replacement projects. These projects were part of the 31 pipeline projects referred to in Ordering Paragraph No. 3 in D.10-12-059.

The authorized project costs cap, the actual costs offset amounts for the projects are summarized as follows:

	Authorized <u>Project Cap</u>	Actual <u>Project Cost</u>
Nile Street and Sebring Street Main Replacement	\$496,700	\$573,866
AFUDC @8.90% (Jan 2011-Aug 2011)	<u>\$20,510</u>	
Total	\$517,210	

Through this advice letter, GSWC is seeking to recover \$517,210, the project cap. The first year revenue requirement is \$86,561.

Supporting workpapers showing the calculation of the revenue requirement are being supplied to the California Public Utilities Commission’s Division of Water and Audits.

**TIER DESIGNATION**

Pursuant to Ordering Paragraph No. 3 in D. 10-12-059, this advice letter is submitted with a Tier 1 designation.

**EFFECTIVE DATE**

GSWC is requesting the tariffs contained herein have an effective date of September 26, 2011.

**PROTEST AND RESPONSES**

Anyone may respond to or protest this advice letter. A response supports the filing and may contain information that proves useful to the Commission in evaluating the advice

letter. A protest objects to the advice letter in whole or in part and must set forth the specific grounds on which it is based. These grounds are:

- (1) The utility did not properly serve or give notice of the advice letter;
- (2) The relief requested in the advice letter would violate statute or Commission order, or is not authorized by statute or Commission order on which the utility relies;
- (3) The analysis, calculations, or data in the advice letter contain material error or omissions;
- (4) The relief requested in the advice letter is pending before the Commission in a formal proceeding; or
- (5) The relief requested in the advice letter requires consideration in a formal hearing, or is otherwise inappropriate for the advice letter process; or
- (6) The relief requested in the advice letter is unjust, unreasonable, or discriminatory (provided that such a protest may not be made where it would require re-litigating a prior order of the Commission.)

A protest shall provide citations or proofs where available to allow staff to properly consider the protest.

A response or protest must be made in writing or by electronic mail and must be received by the Water Division within 20 days of the date this advice letter is filed. The address for mailing or delivering a protest is:

Tariff Unit, Water Division, 3<sup>rd</sup> floor  
California Public Utilities Commission,  
505 Van Ness Avenue, San Francisco, CA 94102  
E-mail: [water\\_division@cpuc.ca.gov](mailto:water_division@cpuc.ca.gov)

On the same date the response or protest is submitted to the Water Division, the respondent or protestant shall send a copy by mail (or e-mail) to us, addressed to:

Golden State Water Company  
ATTN: Ronald Moore  
630 East Foothill Blvd.  
San Dimas, CA 91773  
Fax: 909-394-7427 or  
E-mail: [regulatoryaffairs@gswater.com](mailto:regulatoryaffairs@gswater.com)

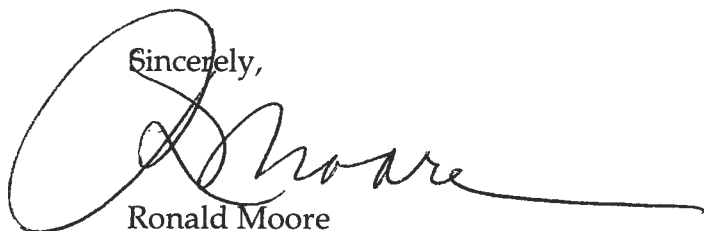
If you have not received a reply to your protest within 10 business days, contact this person at 909-394-3600 ext. 682.

Cities and counties that need Board of Supervisors or Board of Commissioners approval to protest should inform the Water Division, within the 20 day protest period, so that a late filed protest can be entertained. The informing document should include an estimate of the date the proposed protest might be voted on.

The actions requested in this advice letter are not now the subject of any formal filings with the California Public Utilities Commission, including a formal complaint, nor action in any court of law.

No individuals or utilities have requested notification of filing of tariffs. Distribution of this advice letter is being made to the attached service list in accordance with General Order No. 96-B.

Sincerely,

A handwritten signature in black ink, appearing to read "R. Moore", with a long horizontal flourish extending to the right.

Ronald Moore  
Senior Regulatory Analyst, Regulatory Affairs

c: Jim Boothe, CPUC – Division of Water and Audits  
Danilo Sanchez, CPUC- Water Branch, DRA  
Hani Moussa, CPUC- Water Branch, DRA

**Schedule No. SI-1-R**  
**Simi Valley District**

**RESIDENTIAL METERED SERVICE**

**APPLICABILITY**

Applicable to all residential metered water services provided to single-family residential customers.

**TERRITORY**

Portions of the City of Simi Valley and vicinity, Ventura County.

**RATES**

Quantity Rates:

First 1,300 cu. ft., per 100 cu. ft.....	\$ 2.867	(I)
Next 700 cu. Ft., per 100 cu. ft.....	\$ 3.297	
Over 2,000 cu. ft., per 100 cu. ft.....	\$ 3.791	

Service Charge:

	Per Meter Per Month	
For 5/8 x 3/4-inch meter.....	\$ 12.95	
For 3/4-inch meter.....	19.40	
For 1-inch meter.....	32.35	
For 1 1/2-inch meter.....	64.60	
For 2-inch meter.....	103.75	
For 3-inch meter.....	193.35	
For 4-inch meter.....	323.30	
For 6-inch meter.....	646.55	
For 8-inch meter.....	1,034.30	
For 10-inch meter.....	1,486.50	
Sprinkler Service 5/8 x 3/4 inch	14.20	(I)

The Service Charge is a readiness-to-serve charge applicable to all metered service and to which is to be added the charge for water used computed at the Quantity Rates.

**SPECIAL CONDITIONS**

1. All bills are subject to the reimbursement fee set forth on Schedule No. UF.
2. Pursuant to Decision No. 10-12-059, a surcharge of \$0.033 per Ccf will be applied to all metered customer bills excluding customers that are receiving the CARW credit. This surcharge will offset the CARW credits and CARW administrative program costs recorded in the CARW Balancing Account.
3. As authorized by the California Public Utilities Commission, an amount of \$0.152 per Ccf for tier 1, \$0.175 per Ccf for tier 2 and \$0.201 per Ccf for tier 3 is to be added to the Quantity Rate for a period of 36 months, beginning on the effective date of Advice Letter 1373-W, which is January 1, 2010. This surcharge will recover the undercollection in the Balancing-Type Memorandum Account, as of September 2009.
4. As authorized by the California Public Utilities Commission, an amount of \$0.0503 per Ccf is to be added to the Quantity Rate until the balance in the "WCMA" is fully recovered, approximately 12 months, beginning on the effective date of Advice Letter 1358-WA, which is October 2, 2010. This surcharge will recover the net revenue loss as a result of the Governor's declared drought on June 4, 2008.
5. As authorized by the California Utilities Commission, an amount of \$0.098 per Ccf for Tier 1, \$0.113 per Ccf for Tier 2 and \$0.130 for Tier 3 is to be added to the quantity rate through December 21, 2011, 20-Months from the effective date of Advice Letter 1391-WB on April 22, 2010. This surcharge will recover the Under-collection in the WRAM/MCBA Balancing Accounts as of December 31, 2009.
6. As authorized by the California Public Utilities Commission, \$0.05462 per Ccf is to be credited to the Quantity Rate for a period of 12 months, beginning on the effective date of Advice Letter 1433-W. This credit will refund the overcollection in the CARW Balancing Account, as of December 31, 2010. CARW customers are exempt from this credit.
7. As authorized by the California Utilities Commission, an amount of \$0.126 is to be added to the quantity rate for 36-months from the effective date of Advice Letter 1440-W, which is March 8, 2011. This surcharge will recover the under-collection in the WRAM/MCBA Balancing Accounts for the period January 1 through December 31, 2010.
8. As authorized by the California Public Utilities Commission, a surcredit of \$42.63 will be applied to customer bills over two billing cycles at \$21.32, beginning on the effective date of Advice Letter 1452-W. This surcredit will refund the premium charges collected under Schedule 14.1-SI. (P)  
(P)  
(P)

ISSUED BY

**R. J. SPROWLS**

President

Date Filed: **SEP 19 2011**

Effective Date: **OCT 10 2011**

Resolution No. \_\_\_\_\_

Advice Letter No. 1457-W

Decision No. 10-12-059

**GOLDEN STATE WATER COMPANY**

630 E. FOOTHILL BLVD. - P. O. BOX 9016  
 SAN DIMAS, CALIFORNIA 91773-9016

Revised Cal. P.U.C. Sheet No. 6139-W

Canceling Revised Cal. P.U.C. Sheet No. 6097-W

**Schedule No. SI-1-NR**  
**Simi Valley District**

**NON-RESIDENTIAL METERED SERVICE**

**APPLICABILITY**

Applicable to all metered water service except those covered under SI-1-R.

**TERRITORY**

Portions of the City of Simi Valley and vicinity, Ventura County.

**RATES**

Quantity Rates:

For all water delivered, per 100 cu. ft.....	\$ 3.034	(I)
--	----------	-----

Service Charge:

	Per Meter	
	Per Month	
For 5/8 x 3/4-inch meter.....	\$ 13.55	
For 3/4-inch meter.....	20.35	
For 1-inch meter.....	33.95	
For 1 1/2 inch meter.....	67.85	
For 2-inch meter.....	108.75	
For 3-inch meter.....	203.45	
For 4-inch meter.....	339.40	
For 6-inch meter.....	678.80	
For 8-inch meter.....	1,085.65	
For 10-inch meter.....	1,561.00	(I)

The Service Charge is a readiness-to-serve charge applicable to all metered service and to which is to be added the charge for water used computed at the Quantity Rates.

**SPECIAL CONDITIONS**

1. All bills are subject to the reimbursement fee set forth on Schedule No. UF.
2. Pursuant to Decision No. 10-12-059, a surcharge of \$0.033 per Ccf will be applied to all metered customer bills excluding customers that are receiving the CARW credit. This surcharge will offset the CARW credits and CARW administrative program costs recorded in the CARW Balancing Account.
3. As authorized by the California Public Utilities Commission, an amount of \$0.166 per Ccf is to be added to the Quantity Rate for a period of 36 months, beginning on the effective date of Advice Letter 1373-W, which is January 1, 2010. This surcharge will recover the undercollection in the Balancing-Type Memorandum Account, as of September 2009.
4. As authorized by the California Public Utilities Commission, an amount of \$0.0503 per Ccf is to be added to the Quantity Rate until the balance in the "WCMA" is fully recovered, approximately 12 months, beginning on the effective date of Advice Letter 1358-WA, which is October 2, 2010. This surcharge will recover the net revenue loss as a result of the Governor's declared drought on June 4, 2008.
5. As authorized by the California Utilities Commission, an amount of \$0.095 per Ccf is to be added to the quantity rate through December 21, 2011, 20-month from the effective date of Advice Letter 1391-WB of April 22, 2010. This surcharge will recover the under-collection in the WRAM/MCBA Balancing Accounts as of December 31, 2009.
6. As authorized by the California Public Utilities Commission, \$0.05462 per Ccf is to be credited to the Quantity Rate for a period of 12 months, beginning on the effective date of Advice Letter 1433-W. This credit will refund the over-collection in the CARW Balancing Account, as of December 31, 2010. CARW customers are exempt from this credit.
7. As authorized by the California Utilities Commission, an amount of \$0.120 per Ccf is to be added to the quantity rate for 36-months from the effective date of Advice Letter 1440-W, which is March 8, 2011. This surcharge will recover the under-collection in the WRAM/MCBA Balancing Accounts, for the period January 1 through December 31, 2010.
8. As authorized by the California Public Utilities Commission, a surcredit of \$42.63 will applied to customer bills over two billing cycles at \$21.32, beginning on the effective date of Advice Letter 1452-W. This surcredit will refund the premium charges collected under Schedule 14.1-SI. (P)

Advice Letter No. 1457-W

Decision No. 10-12-059

ISSUED BY

**R. J. SPROWLS**

President

Date Filed: **SEP 19 2011**

Effective Date: **OCT 10 2011**

Resolution No. \_\_\_\_\_

TABLE OF CONTENTS  
 (Continued)

Page 3 of 4

<u>Subject Matter of Sheet</u> Rate Schedules: (Continued)	<u>Schedule Number</u>		<u>CPUC Sheet No.</u>
Region 3 Orange County Customer Service Area Metered Irrigation Service Water Conservation	R3-OC-3M 14.1-OC	5617-W, 5618-W, 5619-W, 5620-W, 5621-W	6080-W
Region 3 Customer Service Areas Residential Metered Service	R3-1-R		6075-W, 6076-W
Non-Residential Metered Service	R3-1-NR		6077-W
Irrigation Service- Forest Lawn	R3-3		5970-W, 4982-W
Region 3 San Dimas Customer Service Area Measured Irrigation Service Water Conservation	R3-SD-3 14.1-SD/CM	5633-W, 5634-W, 5635-W, 5636-W, 5637-W	6081-W
Region 3 San Gabriel Customer Service Area Water Conservation	14.1-SG	5642-W, 5643-W, 5644-W, 5645-W, 5646-W	
Santa Maria District Residential Metered Service	SM-1-R		6093-W
Non-Residential Metered Service	SM-1-NR		6094-W
Limited Metered Irrigation Service	SM-3ML		6095-W
Simi Valley District Residential Metered Service	SI-1-R		6138-W (C)
Non-Residential Metered Service	SI-1-NR		6139-W (C)
Water Conservation	14.1-SI	5607-W, 5608-W, 5609-W, 5610-W, 5611-W	
Region 3 Wrightwood Customer Service Area General Metered Service	R3-WW-1		4955-W
General Metered Service with Private Fire Service	R3- WW-2		4956-W
Contracts and Deviations			5828-W
<b>Rules:</b>			
No. 1	Definitions		773-W, 4295-W
No. 2	Description of Service		1570-W
No. 3	Application for Service		4975-W, 4976-W
No. 4	Contracts		777-W
No. 5	Special Information Required on Forms		3738-W, 6134-W, 3739-W
No. 6	Establishment and Re-establishment of Credit		780-W
No. 7	Deposits		4802-W, 4803-W
No. 8	Notices		3740-W, 3741-W
No. 9	Rendering and Payment of Bills		784-W, 785-W, 4296-W
No. 10	Disputed Bills		2604-W, 2605-W
No. 11	Discontinuance and Restoration of Service		3742-W, 3743-W, 3744-W 3745-W, 3746-W, 3747-W 3748-W, 4804-W, 3750-W, 3751-W
No. 12	Information Available to Public		4543-W, 3726-W
No. 13	Temporary Service		793-W, 794-W
No. 14	Continuity of Service		795-W

(Continued)

TABLE OF CONTENTS

The following tariff sheets contain all effective rates and rules affecting rates and service of the utility, together with information relating thereto:

<u>Subject Matter of Sheet</u>	<u>Sheet No.</u>
Title Page	4905-W
Table of Contents	6141-W, 6118-W, 6140-W, 6135-W (T)
Preliminary Statements: 3251-W, 1372-W, 3140-W, 3141-W, 3142-W, 4733-W, 4911-W, 4913-W, 4942-W, 4973-W, 5081-W, 5096-W*, 5097-W*, 5102-W, 5783-W, 5223-W, 5278-W, 5284-W, 5322-W, 5440-W, 5441-W, 5442-W, 5503-W, 5556-W, 5562-W, 5576-W, 5614-W, 5631-W, 5640-W, 5701-W, 5831-W, 5846-W, 5848-W, 5850-W, 5852-W, 5937-W, 5939-W, 5979-W, 5981-W, 6055-W, 6101-W, 6103-W, 6105-W, 6123-W, 6131-W*, 6132-W*	
Tariff Area Maps:	
All Tariff Areas	1078-W
Arden - Cordova	
Arden	5248-W
Cordova	5249-W
Barstow	5560-W
Bay	5250-W
Calipatria-Niland	4151-W
Clearlake	5251-W
Desert	
Morongo Valley	2733-W
Apple Valley North	5802-W
Apple Valley South	5803-W
Desert View	5804-W
Lucerne Valley	5805-W
Los Osos	
Edna Road	5252-W
Los Osos	5253-W
Metropolitan	
Artesia-Norwalk	2736-W
Bell-Florence	3694-W
Culver City	2738-W
Gardena East	2739-W
Southwest	3693-W
Ojai	5703-W, 5704-W
Orange County	
Bolsa Chica	4381-W
Cowan Heights	3384-W
Cypress-Los Alamitos-Stanton	2703-W
Placentia-Yorba Linda	2967-W
Pomona Valley (now Claremont)	2705-W
San Dimas	3158-W
San Gabriel Valley	2707-W
Santa Maria	
Lake Marie	5705-W
Orcutt	5558-W
Sisquoc	5257-W
Tanglewood	5258-W
Nipomo	5259-W
Simi Valley	5260-W
Wrightwood	2710-W

(Continued)

**GOLDEN STATE WATER COMPANY**

**DISTRIBUTION LIST**

**SIMI VALLEY DISTRICT**

Calleguas Municipal Water District  
2100 Olsen Road  
Thousand Oaks, CA 91362  
[staylor@calleguas.com](mailto:staylor@calleguas.com)

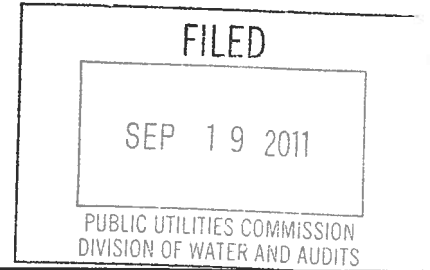
Mike Sedell, City Manager  
City of Simi Valley  
2929 Tapo Canyon Road  
Simi Valley, CA 93065

Clerk of the Board of Supervisors  
Hall of Administration, 4<sup>th</sup> Floor  
800 South Victoria Avenue  
Ventura, CA 93009-1920

Herschel T. Elkins, Asst. Attorney General  
State of California  
300 South Spring Street  
Los Angeles, CA 90013

**CALIFORNIA PUBLIC UTILITIES  
COMMISSION  
DIVISION OF WATER AND  
AUDITS  
Advice Letter Cover Sheet**

(Date Filed / Received Stamp by CPUC)



<b>AL #</b> 1457-W	<b>Date Mailed to Service List:</b> 9/19/2011	<b>Requested Effective Date:</b> 9/26/2011	<b>Requested Tier: Tier 1</b>	
<b>Replacing AL#:</b>	<b>Authorized by:</b> D. 10-12-059	<b>Compliance Filing?</b> Yes	<b>Rate</b>	\$ \$517,210 Capital Cost \$86,561 Revenue Requirement
			<b>Impact</b>	% 0.70%

**The public has 20 days from Date Mailed (above) to protest this advice letter. If you chose to protest or respond to the advice letter, send Protest and/or Correspondence within 20 days to:**

Director  
Division of Water and Audits  
505 Van Ness Ave.  
San Francisco, CA 94102

**and if you have email capability, also email to:** [water\\_division@cpuc.ca.gov](mailto:water_division@cpuc.ca.gov)

**Your protest also must be served on the Utility** (see attached advice letter for more information and grounds for protest)

<b>Company Name: Golden State Water Company</b>	<b>CPUC Utility Number:</b> WTA <u>133-W</u> WTB _____ WTC _____ WTD _____ SWR _____
<b>Address: 630 East Foothill Blvd.</b>	
<b>City, State, Zip: San Dimas, CA 91773</b>	

	<b>Contact Name:</b>	<b>Phone No.</b>	<b>Fax No.</b>	<b>Email Address:</b>
<b>Filer</b>	Ronald Moore	909-394-3600 ext. 682	909-394-7427	rkmoore@gswater.com
<b>Alternate</b>	Keith Switzer	909-394-3600 ext. 759	909-394-7427	kswitzer@gswater.com

**Description:**

1. Advice letter is in compliance with D.10-12-059 and has a Tier 1 designation.
2. Advice letter seeks a Rate Base adjustment for the Niles and Sebring Streets Main Replacement Project in the Simi Valley Customer Service District, as authorized in D.10-12-059.
3. n/a

<b>(FOR CPUC USE ONLY)</b>			
<b>WTS Budget/Activity/Type</b>	<b>Process as:</b> <input type="checkbox"/> Tier 1 <input type="checkbox"/> Tier 2 <input type="checkbox"/> Tier 3		
/ /	<b>20th Day</b>		<b>30th Day</b>
<b>Project Manager:</b>	<b>Suspended on:</b>		
<b>Analyst:</b>	<b>Extended on:</b>		
<b>Due Date:</b>	<b>Resolution No.:</b>		

Completion Date:

AL/Tariff Effective Date: